

Express Mail Label No. **EL598672687US**

Docket No.: **END920010020US1**

NEW UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages this Submission: **3**

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

System and Method for Server Display Confirmation Record Response in a Connection Oriented Client/Server Protocol

and invented by:

RICHARD G. HARTMANN, DANIEL L. KRISSELL, THOMAS E. MURPHY, JR., FRANCINE M. ORZEL, PAUL F. RIETH, JEFFREY S. STEVENS

ASSIGNEE NAME: International Business Machines Corporation
ASSIGNEE RESIDENCE: Armonk, New York

If a **CONTINUATION APPLICATION**, check the appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part(CIP) of prior application

Enclosed are:

Application Elements

01. ☒ Filing fee as calculated and transmitted as described below
02. ☒ Specification having **58** pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications *(if applicable)*
 - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
 - d. ☐ Reference to Microfiche Appendix *(if applicable)*
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings *(if drawings filed)*
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure
03. ☒ Drawing(s) when necessary as prescribed by 35 USC 113)
 - a. ☐ Formal
 - b. ☒ Informal

Number of sheets: **3**

1000 U.S. PTO

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Total Pages this Submission:3

Application Elements (Continued)

04. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Not executed
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
05. ☐ Incorporation By Reference *(usable if box 4b is checked)*
- The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of accompanying application and is hereby incorporated by reference therein.
06. ☐ Computer Program in Microfiche *(Appendix)*
07. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

08. ☒ Assignment Papers *(cover sheet & document(s))*
09. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS citation
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Label No: EL598672687US)
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Additional Enclosures *(identify below)*

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Total Pages this Submission:3

Accompanying Application Parts (Continued)

17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Fee Calculation and Transmittal

CLAIMS AS FILED

For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	106	-20	86	x \$18.00	\$ 1,548.00
Indep. Claims	11	- 3	8	x \$80.00	\$ 640.00
Multiple Dependent Claims					\$
BASIC FEE					\$ 710.00
Other FEE (Specify purpose)					\$
TOTAL FILING FEE					\$ 2,898.00

- ☐ A check in the amount of \$ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit IBM Corporation Deposit Account No. 09-0457 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$2,898.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees as required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: 20 JUL 2001



 Signature

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cc:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **R. G. Hartmann et al**

Docket No.

END920010020US1

Serial No.

Filing Date
HerewithExaminer
N/AGroup Art Unit
N/AInvention: **SYSTEM AND METHOD FOR SERVER DISPLAY CONFIRMATION RECORD RESPONSE IN A CONNECTION ORIENTED CLIENT/SERVER PROTOCOL**

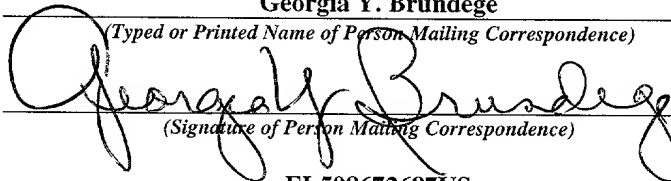
I hereby certify that this **Patent App., Recordation Cover Sheet, Assignment, IDS w/references**
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on **8/17/2001**
(Date)

Georgia Y. Brundege

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